

**Status of Funding Estimates in the 2009-14 TIP Update
For January 7, 2009 Planning Committee Meeting**

The NYSDOT Main Office supplied each regional office with regional allocations. Monies were taken off the top of the NHS funding allocation for projects of statewide significance (there are no projects of statewide significance in the CDTC region). These allocations were based on new formulas applied at the state level to each region and accommodated past balances. The allocations for Region One were supplied to CDTC staff at the October 2008 Planning Committee meeting.

NYSDOT Region 1 has proposed using the statewide formulas at the regional level to provide funding estimates for the CDTC, AGFTC, and rural areas. Allocations based on this approach are summarized in the following table (Comparison of Funding Estimates from 2007-12 TIP & 2009-14 TIP Update). The table also shows the funding allocations based on the formulas used in prior TIP update cycles.

Using the statewide formulas, CDTC's overall allocation would be smaller without the statewide and HBRR funds. It would be larger if those funds were restored. There would be a big increase in HBRR but a big decrease in Surface Transportation Program funds. STP is the fund source that is most flexible for programming at the CDTC table.

Below is what is known and unknown about the allocations. The table on the following page compares the new five-year funding to the five-year funding in the current (2007-12) TIP.

What is Known:

1. Region One staff proposes using the statewide formulas at the Regional level to provide funding estimates for the CDTC, AGFTC and rural areas.
2. The funding for Batchellerville Bridge is no longer shown as coming from the statewide HBRR funding, but is shown as coming from Region One's allocation.
3. The proposed estimates have been adjusted by Region 1 to include 100% of the STP Large Urban funds in the CDTC area.
4. There is no proposed conversion from CMAQ to STP Flex funds is accommodated. The conversion from the recent past is presumed to be outside the period these estimates cover.

What is Not Known:

1. How much of the New York State allocation was taken “off the top” before the formulas were applied to produce regional allocations.
2. If formulas that were developed for statewide use are appropriate for use within Region One.
3. The starting point of the funding. (For example, is the starting point a known balance as of 10/1/07, or is it a date beyond the November 2008 Planning Committee meeting, with adjustments for planned obligations required later?)
4. How the past balances are accommodated. (For example, are they rolled into the first year or spread over five years?)